

Express Mail No.: EV335395908US  
International Application No.: PCT/CN03/00106  
International Filing Date: January 30, 2003  
Preliminary Amendment Accompanying  
Substitute Specification

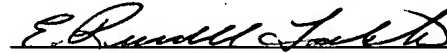
REMARKS

The Director is authorized to charge any additional fees due by way of this Amendment, or credit any overpayment, to our Deposit Account No. 19-1090.

All of the claims remaining in the application are now clearly allowable. Favorable consideration and a Notice of Allowance are earnestly solicited.

Respectfully submitted,

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## METHOD FOR DISTRIBUTING ENCRYPTION KEYS

## IN WIRELESS LOCAL AREA NETWORK

10/506765

BACKGROUND OF THE INVENTIONField of the Invention

- 5                   The present invention relates to communication between APs (Access Point) in WLAN (Wireless Local Area Network) and any mobile host, particularly to a method for distributing encryption keys.

Background of the InventionDescription of the Related Art

- 10                   WLAN transfers data, voice, and video signals through wireless channels. Compared with traditional networks, WLAN is easy to install, flexible to use, economical, and easy to extend, etc., and is favored by more and more users.

- The coverage area of WLAN is called as service area, which is usually divided into Basic Service Area (hereinafter referred as BSA) and Extended Service Area (hereinafter referred as ESA); wherein BSA refers to the communication
- 15                   coverage area determined by transceivers of individual units in the WLAN and the geographic environment and is usually called as cell, the scope of which is generally small; the method shown in Fig. Figure 1 is usually used to extend the coverage area of WLAN, *i.e.*, the BSA is connected to the backbone network (usually a wired LAN) via the APs and the wireless gateway, so that mobile hosts (MHs) in the BSA are
- 20                   connected to the backbone network via the APs and the wireless gateway to constitute a ESA.

- Compared with wire transmission, the confidentiality of wireless transmission is lower; therefore, to ensure communication security between the APs of the cell and the mobile hosts, information should be encrypted with keys before
- 25                   transmitted. When a mobile host moves across cells or powers on, it searches for the local cell, registers itself to the AP of the cell, and obtains information related with

the cell; therefore, the encryption communication between the mobile host and the APs will be restricted to some extent. In detail, for example, when the mobile host MH12 moves from cell 1 into cell 2, if AP11 and AP12 is in the coverage area of the same key management server, then the encryption communication between mobile  
5 host MH12 and AP11 can be smoothly transited to between MH12 and AP21; however, if AP11 and AP21 are managed by different key management servers, then encryption communication between MH12 and AP21 can not be realized directly in cell 2 because AP21 can not obtain the communication key of MH12. However, if the mobile host MH12 sends its key to AP21 through the wireless  
10 channel without encryption, the system will be vulnerable because the key may be intercepted and deciphered easily.

As described above, it is obvious that the method for distributing encryption keys in the prior art will result in restrictions to encryption communication when the mobile host roams across cells.

## 15 BRIEF SUMMARY OF THE INVENTION

The present invention provides a new method for distributing encryption keys in WLAN.

In a method for distributing encryption keys in WLAN according to the present invention, said WLAN comprises an AP and a plurality of mobile hosts  
20 storing identification information, said mobile hosts communicate with said AP through wireless channels, said AP and the external network connect with the authentication device which authenticates said mobile hosts; said authentication device stores identification information of all mobile hosts, said method comprises the following steps:

- 25 (1) a mobile host sending an authentication request containing identification information to the authentication device for identity authentication;
- (2) the authentication device authenticating the mobile host according to identification information contained in the authentication request, if the

authentication fails, the authentication device sending an ACCEPT\_REJECT message to the mobile host via the AP; if the authentication succeeds, the authentication device sending key-related information M1 to AP and sending an message comprising ACCESS\_ACCEPT information to the mobile host via the AP;  
5 if containing key-related information M2, said message being encrypted;

(3) AP obtaining the key from the key-related information M1 sent from the authentication device, and the mobile host obtaining the key from said message sent from the authentication device via the AP.

As shown above, the method of the present invention combines key  
10 distribution process with authentication process of the mobile hosts and utilizes an authentication device to manage key distribution, so that mobile hosts can roam in a scope larger than the coverage area of the key management server. Because the key distribution ~~doesn't~~does not involve transmitting the key which is not encrypted via the air interface, the method ensures the key is safe. In addition, said method  
15 ~~doesn't~~does not depend on specific authentication modes, so it can be used under different kinds of WLAN protocols. Finally, because AP ~~doesn't~~does not need to manage user information, the method simplifies AP structure, and thus lowers the cost.

## BRIEF DESCRIPTION OF THE DRAWINGS

20 Various advantages, characteristics, and features of the present invention can be understood better through description of the embodiments hereunder with reference to the attached drawings, wherein:

~~Fig-Figure~~ Figure 1 is a schematic diagram of connection between a WLAN and a wired backbone network via the AP and a wireless gateway;

25 ~~Fig-Figure~~ Figure 2a is a schematic diagram of the encryption communication method in WLAN according to an embodiment of the present invention;

~~Fig-Figure~~ Figure 2b is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention;

Fig. Figure 2c is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention;

Fig. Figure 2d is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention;

5            Fig. Figure 3a shows an example of the dynamic negotiation process for the keys in WLAN;

Fig. Figure 3b shows another example of the dynamic negotiation process for the keys in WLAN;

10           Fig. Figure 3c shows another example of the dynamic negotiation process for the keys in WLAN; and

Fig. Figure 3d shows another example of the dynamic negotiation process for the keys in WLAN.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS

15           Hereunder the method for distributing encryption keys in WLAN according to the embodiments of the present invention is described in detail with reference to Fig. Figure 1 and Fig. Figure 2a to 2d.

As shown in Fig. Figure 1, cell 1 to 3 include AP11, AP21 and AP31, and several mobile hosts MH12 to MH33 respectively, each of the mobile hosts stores identity information I and property information P and communicates with the  
20    AP in the corresponding cell through a wireless channel; the APs are connected to a wired backbone network 4 via wireless gateways 51 to 53; the authentication server (not shown) in the backbone network contains identity information I and property information P of all mobile hosts in all cells, and it can also obtain user lists storing identity information I and property information P of mobile hosts from external  
25    devices; therefore the authentication server can authenticate any mobile host according to the identity information I or the identity information I stored in the user lists. It should be noted that the identity information I and the property information P of mobile hosts can also be managed by wireless gateways 51 to 53, therefore the

mobile hosts can be authenticated by the wireless gateways. In addition, the mobile hosts can also be authenticated by the authentication server and the wireless gateways interoperably. For those skilled in the art, authentication of mobile hosts is the prior art and can be implemented in various ways, and said methods are only a part of them; for convenience, any device which can authenticate the mobile hosts will be considered as an authentication device.

Fig.Figure 2a shows the initial key distribution and the encryption communication between mobile host MH12 and AP21 when MH12 moves into cell 2 from cell 1.

10           The mobile host MH12 establishes a connection with AP21 and sends an authentication request containing identity information to the authentication server in the backbone network 4 for authentication via AP21 and the wireless gateway 51. When receiving the authentication request, the authentication server authenticates the mobile host according to the identity information I contained in the authentication request; if the identity information I is inconsistent with the stored one, the authentication server deems the mobile host as an illegal one and rejects the authentication request, and then sends an ACCEPT\_REJECT message to MH11 via the wireless gateway 51 and AP21; if the identity information I contained in the authentication request is consistent with the stored one, the authentication server  
15           deems the mobile host as a legal one and accepts the authentication request, and then, as shown in Fig.Figure 2a, the authentication server searches for the corresponding property information P of the mobile host MH12 according to the identity information I and then sends it to AP21 via the wireless gateway 51. When receiving the property information P sent from the authentication server, AP21  
20           sends a confirmation message back to the authentication server via the wireless gateway for safe receipt of the property information P and generates a key from the property information P with the key generation algorithm. The key generation algorithm can be any kind of algorithm, and the length of the key is free. When  
25           receiving the confirmation message from AP21, the authentication server sends an

ACCESS\_ACCEPT message to MH21 via the wireless gateway 51 and AP21.

When receiving the ACCESS\_ACCEPT message, the mobile host MH21 generates a key from the property information P stored in itself with the same key generation algorithm as the one with which AP21 generates a key, and then encrypts data

- 5 packets to be sent to AP21 with the key, and sends the encrypted data packets to AP21; MH21 adds an encryption identifier in the data packets when encrypting the data packets. When receiving the data packets from MH21, AP21 detects the encryption identifier in the data packets; if the encryption identifier is found, AP21 decrypts the data packets with the key obtained from property information P and the
- 10 key generation algorithm, and then forwards the decrypted data packets to the external network 4 via the wireless gateway 51; otherwise AP21 directly forwards the original data packets to the external network 4 via the wireless gateway 51.

- Fig.Figure 2b is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention. The
- 15 difference between this embodiment and that of Fig.Figure 2a is: in the communication process, the key is generated with any key generation algorithm and then encrypted with property information P by AP21, and then sent to MH21. When receiving the key from AP21, MH21 decrypts the key with the property information P stored in itself, encrypts the data packets to be sent to AP with the decrypted key
- 20 and sends them to AP. MH21 also adds an encryption identifier in the data packets when encrypting the data packets. In this case, each of the mobile hosts does not need to know the key generation algorithm used by AP21.

- Fig.Figure 2c is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention. The
- 25 difference between this embodiment and that of Fig.Figure 2a is: when the authentication succeeds, the authentication server generates the key from the found property information P with the key generation algorithm and then sends the key to AP21 instead of sending the property information P to AP21 to generate the key.

Fig-Figure 2d is a schematic diagram of the encryption communication method in WLAN according to another embodiment of the present invention. The difference between this embodiment and that of Fig-Figure 2c is: when the authentication succeeds, the authentication server generates the key with the key generation algorithm and then sends the key to AP21, and at the same time, the authentication server also sends the key encrypted with the property information P to MH21.

It should be noted that the backbone network 4 may includes a plurality of authentication servers, which connect with each other under certain communication protocols to exchange identification information of the mobile hosts stored in them; so that the service area can be extended further.

In above embodiments, if the mobile hosts are authenticated by the wireless gateway 51 to 53 independently, other functions of authentication server can also be implemented on the wireless gateways, for example, wireless gateways 51 to 53 can be configured to send ACCESS\_ACCEPT message to MH21, generate the key, and send property information P to AP21, etc. Similarly, if the confirmation function is implemented by the authentication server and the wireless gateways interoperably, other functions of the authentication server can also be implemented by the authentication server and the wireless gateways interoperably. In general, all functions of the authentication server can be implemented by the authentication device.

In above encryption communication in the WLAN, to enhance system security further, the communication key between AP and the mobile host can also be updated periodically or aperiodically. Hereunder several examples of such dynamic negotiation for keys are described with reference to Fig-Figure 3a to 3d.

As shown in Fig-Figure 3a, in order to update the key, AP generates a random number first and generates a key from the random number with any key generation algorithm; then AP adds the random number in the key update message and then sends the message to the mobile host. When receiving the key update



message, the mobile host generates the key from the random number contained in the key update message with the same key generation algorithm, encrypts the data packets to be sent to AP with the key, and then sends the data packets to AP; when encrypting the data packets, the mobile host still adds the encryption identifier in the data packets and changes the value of the encryption identifier to indicate the communication key has been changed.

Fig-Figure 3b shows another example of dynamic negotiation for the keys. As shown in Fig-Figure 3b, in order to update the key, AP generates a new key in a random way, encrypts the newly generated key with the present key, and adds the encrypted key to the key update message, and then sends the message to the mobile host. When receiving the key update message, the mobile host decrypts the new key contained in the key update message with the present key, encrypts the data packets to be sent to AP with the new key, and then sends the encrypted data packets to AP; when encrypting the data packets, the mobile host also adds the encryption identifier to the data packets and change the value of the encryption identifier to indicate the communication key has been changed.

Fig-Figure 3c shows another sample of the dynamic negotiation for the keys. As shown in Fig-Figure 3c, in order to update the key, the authentication device generates a random number, generates a key from the random number with any key generation algorithm, and sends the random number to the mobile host and sends the generated key to AP. When receiving the key from the authentication device, AP sends a key update message to the mobile host. When receiving the key update message and the random number, the mobile host generates the key with the same key generation algorithm, encrypts the data packets to be sent to AP with the key, and then sends the encrypted data packets to AP; when encrypting the data packets, the mobile host also adds the encryption identifier to the data packets and change the value of the encryption identifier to indicate the communication key has been changed.

Fig. Figure 3d shows another sample of dynamic negotiation for the keys. As shown in Fig. Figure 3d, in order to update the key, the authentication device generates a new key in a random way, sends the key to AP, then encrypts the new key with the present key, and sends the encrypted key to the mobile host.

- 5 When receiving the unencrypted key from the authentication device, AP sends a key update message to the mobile host. When receiving the key update message and the encrypted key, the mobile host decrypts the encrypted key with the present key to obtain a new key, encrypts the data packets to be sent to AP with the new key, and then sends the encrypted data packets to AP; when encrypting the data
- 10 packets, the mobile host also adds the encryption identifier in the data packets and change the value of the encryption identifier to indicate the communication key has been changed.

- In above dynamic negotiation process, if AP finds the value of encryption identifier in the data packets sent from the mobile host is not changed
- 15 after the key update message is sent, it will resend the key update message and the random number or encrypted new key, till the mobile host communicates with the new key.

- As shown above, the key distributing method does not involve logon management, authentication management, and mobile management in WLAN;
- 20 therefore it can be implemented under all different kinds of WLAN protocols, including PPPoE, IEEE 802.1x, etc. To better understanding the advantages, characteristics and object of the present invention, the key distributing method in the embodiment of the present invention will now be described with reference to IEEE 802.1x.

- 25 IEEE 802.1x is a commonly-used WLAN protocol, involving standards of MAC layer and physical layer, wherein the unit of data packets between AP and mobile hosts is MAC frame. IEEE 802.1x messages mainly include: EAP\_START, EAP\_LOGOFF, EAP\_REQUEST, EAP\_RESPONSE, EAP\_SUCCESS, EAP\_FAIL

and EAP\_KEY, which are special MAC frames because they are identified by the Type field in MAC frame.

After establishing a connection with AP, the mobile host sends an EAP\_START message to AP; when receiving the message, AP sends an

5 EAP\_REQUEST/IDENTITY message to the mobile host to request the user to input user name and password. After the user inputs the user name and password, the mobile host encapsulates them in the EAP\_RESPONSE/IDENTITY message and sends the message back to AP. AP encapsulates user name and password provided by the user into an ACCESS\_REQUEST message and then sends the

10 message to the authentication server; the communication between AP and the authentication server complies with Radius protocol. The authentication server checks whether the user name and password match first; if not, the authentication server determines the authentication failed and sends an ACCEPT\_REJECT message to AP. When receiving the message, AP sends an EAP\_FAIL message to

15 the mobile host to reject access of the mobile host. If the authentication succeeds, the authentication server will send an ACCESS\_ACCEPT message to AP and add property information P corresponding to the user in the data field of the message. When AP receives the message, as described in above key distributing method, the key can be generated from the property information P with a key generation

20 algorithm and an EAP\_SUCCESS message is sent to the mobile host, or the key can be encrypted with the property information P and then sent to the mobile host in an EAP\_KEY message. Accordingly, the mobile host can generate the key from the stored property information P with the same key generation algorithm or decrypts the received key with the corresponding property information P. Next, the mobile

25 host encrypts MAC frame data with the key and then sends the encrypted MAC frame data to AP; at the same time, it adds the encryption identifier in the MAC frames. Field of the frame body comprises IV field, data field and ICV field; especially, the IV field contains a 2-bit KeyID field, which serves as the synchronization flag. Preferably, when the MAC frames are not encrypted,

KeyID=0; after the encryption communication starts, KeyID is increased by 1 whenever the key is updated, *i.e.*, KeyID=KeyID+1; when KeyID=3, it will be reset to 1 instead of 0 during the next key update operation. Therefore, when the MAC data is encrypted at the first time, the field KeyID=1 in the MAC frames sent by the mobile host; when receiving the MAC frames with KeyID=1, AP determines the mobile host has used a new key and then decrypts MAC data with said generated key, converts the MAC data into Ethernet format to forwards to the wired network. If detecting the KeyID in MAC frames uploaded by the mobile host is still 0 after sending the EAP\_KEY message, AP will resend the EAP\_SUCCESS or EAP\_KEY message.

10                    In order to update the communication key dynamically, after the mobile host logs on, AP may send the EAP\_KEY message periodically (*e.g.*, once every 10 minutes) or aperiodically to inform the mobile host to update the key. In the latest EAP\_KEY message, the random number used to generate the new key or the new key encrypted with the present key may be included selectively. When

15                    receiving the message, the mobile host can generate the new key from the random number with the same key generation algorithm or decrypts the new key with the present key. Next, the mobile host encrypts MAC data with the new key and set KeyID=2 at the same time. AP detects the KeyID field in MAC frames uploaded; if the KeyID is not changed, it continues using the present key to decrypt the MAC

20                    data and resends the EAP\_KEY message at the same time; if the KeyID has been changed, it will use the new key to decrypt the MAC data.

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